



Fundamentals of Banking Law & FinTech

Oct. 30 – Nov. 1, 2019
San Francisco, CA

Instructors:

- Scott Alvarez
- John A. Buchman
- Paul Clark
- Hugh Conroy
- John M. Geiringer
- Sara A. Kelsey
- Edward J. McAniff
- Jeremy R. Newell
- Karol K. Sparks

Luncheon Speaker:

- Vince Cogan | General Counsel, Brex

Berkeley Law Executive Education is pleased to continue its sponsorship of **Fundamentals of Banking Law**. This intensive two and a half day professional development program will familiarize participants with the key policies, concepts and regulations surrounding banking regulation and the financial markets.

Designed as a workshop and lecture-based program, rather than a seminar or conference, Fundamentals of Banking Law is taught by experienced practitioners, academics from leading law schools including Berkeley Law, Boston University, and NYU, as well as former bank regulators.

Up to 18 hours of MCLE credit will be offered, including at least 2.25 hours of ethics.

Apply Today!

FinTech Legal Workshop – November 1, 2019

An intensive half-day executive education workshop designed to help participants understand how banking and finance laws apply to financial innovation. Complementary enrollment for Fundamentals of Banking Law program participants.

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Fundamentals of Banking Law | Course Agenda

October 30 – November 1, 2019 | San Francisco, CA

DAY ONE: Basic Bank Regulation, Structure, Deposit Products/Issues and Capital/Liquidity

Start Time	Tab	Session	Faculty Member
8:00		Welcome and Introductions; Summary of Course	K. Sparks
8:30	A	Overview of Bank Regulatory Structure, Themes and Law	K. Sparks
9:30	B	Building the Banking Organization: Structural Choices and Powers of Banks	J. Buchman and H. Conroy
10:30		Break	
10:45	B	Bank Powers, continued and Preemption	J. Buchman and H.
11:30	C	Bank Holding Companies and Concepts of Control	S. Alvarez
12:45		Luncheon with speaker: Vince Cogan, General Counsel, Brex	
2:15	D	Understanding a Bank through its Financial Statements	K. Sparks
3:00	E	Capital and Liquidity Requirements	H. Conroy
4:15		Break	
4:30	F	Deposit Products and Services	P. Clark
5:30		Reception	

DAY TWO: Prudential Regulation, Applications, Examination and Enforcement and Failure & Resolution

8:00	G	Restraints on Lending	J. Buchman
9:15	H	Affiliate Transactions	J. Newell
10:30		Break	
10:45	I	Examination and Enforcement	J. Geiringer
12:00	J	FinTech as a Regulatory Exemplar	P. Clark
1:00		Luncheon	
2:00	K	Swaps, Derivatives and Hedging as a Regulatory Exemplar	J. Newell
3:15	L	M&A and the Application Process	S. Alvarez
4:15		Break	
4:30	M	Bank Failure and Orderly Resolution	S. Kelsey
5:30		Adjourn	

DAY THREE: Governance and Ethics

8:00	N	Corporate Governance/Risk Management	S. Alvarez
9:15	O	Ethical Issues Facing Lawyers Representing Banking Institutions	S. Kelsey
10:30		Break	
10:45	P	Ethics Squared: The Compliance Conundrum	T. McAniff
11:45	Q	Adjourn	

FinTech Legal Workshop | Noon to 6:00 pm (No additional cost for Banking Law participants)