

## **Head of Regulatory Relations**

**Location: San Francisco, CA**

The Head of Regulatory Relations will report directly to the General Counsel. This key role will oversee BancWest and Bank of the West's regulatory activities, be the primary liaison to the federal and state bank regulatory agencies, and support all divisions in related matters. The role will also assist the IHC's Head of IHC and CIB Supervisory Relations Head regarding regulatory activities.

- Builds strong relationships with BancWest and Bank of the West's regulators and facilitate their understanding of business strategy and compliance issues.
- Serves as the central point of contact for regulators by facilitating exams and providing information requested for continuous supervision, exams, and ad hoc inquiries.
- Directs and advises staff and business partners in preparation of examinations and inquiries.
- Attends meetings with regulators and bank officers, and determines the people at the regulators with whom the Bank should meet on specific topics
- Oversees and coordinates responses to regulatory findings.
- Supports responsiveness and transparency of the exam process by tracking, monitoring and reporting actions taken in response to exam recommendations.
- Maintains an up-to-date central repository for regulatory communications and other documentation provided to regulatory agencies.
- Informs and provides reporting to the appropriate IHC, BancWest, and Bank of the West Boards, management committees, senior management, and applicable employees of all relevant exam ratings / findings, scheduled exams, and relevant information requests.
- Advises executive and senior management on strategic direction and themes regarding regulatory activities, including potential risks, root causes of regulatory issues, and themes.
- Partners with business units to ensure the confidentiality of client information provided to the regulators.
- Assists on other projects, as needed.

## Qualifications

- Fifteen (15) years of work experience, with six to ten (6-10) years directly related to regulatory compliance (encompassing multiple lines of business) within the banking industry.
- Extensive knowledge of banking rules and regulations (e.g., FDIC and Federal Reserve)
- Bachelor's Degree required. Master's or Law Degree preferred.
- Demonstrated ability to work independently, self start and highly motivated to work in a fast-paced environment.
- Ability to gain respect of the Board, Executive Management and other Group colleagues.
- Collaborative and good at building and leveraging relationships.
- Superior communication and presentation skills, including prior experience presenting to Executive and Senior Management.
- Strong project management skills and the ability to coordinate initiatives as required.
- Direct work experience or knowledge of the Federal Reserve Bank and FDIC preferred.